



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 99,853)

PATENT

Handwritten: 12/10/04
12/B

In re Application of:)
)
Kaminsky, et al.)
)
Serial No.: 09/475,534)
)
Filed: December 30, 1999)
)
For: Automated Trading Exchange System)
Having Integrated Quote Risk Monitoring)
and Integrated Quote Modification Services)

Art Unit: 3627

Examiner: Rudy, Andrew J.

Response to Office Action

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
JAN 21 2004
GROUP 3600

Sir:

Responsive to the Office Action mailed September 12, 2003, in which claims 8-28 were rejected under 35 U.S.C. § 103(a) and 35 U.S.C. § 112 ¶ 2, and claims 31-37 were withdrawn from consideration by the Examiner. Applicants respond as follows: